



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Gladwin, et al.

Serial No.: 09/709,772

Filed: November 8, 2000

For: Structure and Method for Selecting, Controlling and  
Sending Internet-Based or Local Digital Audio to an  
AM/FM Radio or Analog Amplifier

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being  
deposited with the United States Postal Service  
as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks,  
Washington, D.C. 20231, on this date.

5-2-01 John S. Paniaguas  
Date John S. Paniaguas  
Registration No. 31,051  
Attorney for Applicant(s)

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

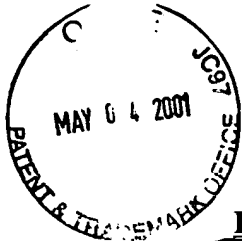
Sir:

In response to the Notice to File Missing Parts dated March 27, 2001 enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) Declaration for Patent Application, including Power of Attorney.
- (X) Assignment(s) of the invention.
- ( ) Verified Statement Claiming Small Entity Status
- (X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s).
- ( ) Statement(s) of Status as Small Entity.
- (X) A check in the amount of \$65.00 to cover the Filing and Surcharge fee is enclosed.
- ( ) Charge \$\_\_\_\_\_ to Deposit Account No. 50-1214.
  
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in triplicate.

KATTEN MUCHIN ZAVIS  
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By: John S. Paniaguas  
John S. Paniaguas  
Registration No. 31,051



**PATENT APPLICATION**

Attorney Docket No.: 11748/9

Date: May 2, 2001

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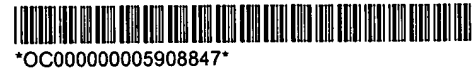
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/709,772	11/08/2000	Stephen Christopher Gladwin	11748/9

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Chicago, IL 60661-3693

FORMALITIES LETTER



Date Mailed: 03/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

C. Moore  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2001 AGOITOM 00000032 09709772 65.00 DP  
01 FC:205